



*A premier independent broker/dealer*

# 10 Reasons You'll Love Investors Capital

**1. 5-star service<sup>SM</sup> and support every day.**

Have your call answered with a smile—and often in five seconds or less. Our CEO, Tim Murphy, wouldn't have it any other way.

**2. Strong net capital position. Feel secure about your B/D's financial health.**

We strive to continually strengthen our net capital position.

**3. Quality over quantity. Escape big-firm politics.**

With 550 advisors, we get to know you as a person as well as a professional. We like professional advisors with a clean U4 who produce at least \$150k in annual GDC.

**4. Personalized transition.**

You receive tailored, one-on-one, hands-on transition assistance even if it means coming to your office during the work week or the weekend to help move you over smoothly. We also cover your ACAT fees.

Feel good. Worry less. With 5-star service. Feel the difference that great service can make.

**5. Quality assurance and process improvement.**

Get your work processed efficiently and accurately—avoiding frustrating delays.

**6. Technology that streamlines your day.**

Track your submitted materials 24/7 on the web as it moves through our Operations workflow with our in-house Transparency System.

**7. Upfront compensation and payouts up to 95%.**

You're paid commissions weekly.

**8. In-house advisory solutions.**

Get help managing your clients' assets more productively and efficiently with our top-notch resources and programs.

**9. Advisory Council.**

Have real input. You may be hand-picked by our CEO to offer constructive ideas regarding the growth, direction and success of Investors Capital.

**10. Feel good. Worry less. With 5-star service.**

Feel the difference that great service can make. Our entire home office staff annually attends The Ritz-Carlton Leadership Center to reinforce the principles upon which The Ritz-Carlton continuously delivers legendary service to its customers. Our mission is to provide that same level of service to you. Every day.